



PATENT
Customer No. 22,852
Attorney Docket No. 06530.0279

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

45/86
12-10-02

In re Application of:)
Ronald ADAMS) Group Art Unit: 3731
Application No.: 09/863,666) Examiner: Unknown
Filed: May 23, 2001)
For: ENDOLUMINAL FUNDOPLICATION)
DEVICE AND RELATED METHOD)

Assistant Commissioner for Patents
Washington, DC 20231

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Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

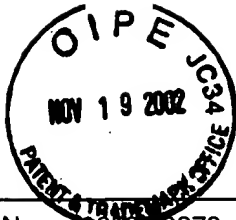
Dated: November 19, 2002

By: 

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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	06550.0279	Appln. No.	09/863,666
Applicant	Ronald ADAMS		
Filing Date	May 23, 2001	Group:	3731

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Dat If Appropriate
	5,549,621	Aug. 1996	BESSLER et al.	606	151	
	5,571,116	Nov. 1996	BOLANOS et al.	606	139	
	6,086,600	Jul. 2000	KORTENBACH	606	139	

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FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
WO 02/28289 A1	Apr. 2002	PCT	A61B	17/072	
WO 00/78227 A1	Dec. 2000	PCT	A61B	17/064	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce